

STATUTES OF THE UNIVERSITY OF CHICAGO

Enacted by the Board of Trustees

Last amended: May 30, 2018

STATUTE 1. The University includes the Schools, the Divisions, the College, the Oriental Institute, the Institute for Molecular Engineering, the Rockefeller Memorial Chapel, the University Library, the University Press, the David and Alfred Smart Museum of Art, the Court Theatre, the William B. and Catherine V. Graham School of Continuing Liberal and Professional Studies, and the Laboratory Schools.

STATUTE 2. The Divisions and Schools

2.1. The Divisions include: The Division of the Humanities, the Division of the Social Sciences, the Division of the Physical Sciences, and **the Division of the Biological Sciences.**

2.2. The Schools include: The Divinity School; **the Pritzker School of Medicine of the Division of the Biological Sciences;** the Law School; the Booth School of Business; the School of Social Service Administration; and the Irving B. Harris Graduate School of Public Policy Studies.

STATUTE 6. The President and the Provost.

6.2. The Provost of the University shall have general responsibility under the President for the development, implementation, and administration of the academic affairs of the University. In furtherance of this responsibility, the Provost shall take the initiative in proposing plans and action in academic matters, in reviewing and implementing educational programs and policies, and in meeting and consulting with Deans, Chairs, Directors, and members of Faculties. The Provost shall also (1) be empowered to appoint faculty committees and to call meetings of any Ruling Body, Department, University Board, or institute, (2) recommend to the President the appointment of Deans, Chairs of Departments, and Directors; (3) appoint members of University Boards, and (4) be responsible for academic appointments, assignments, and promotions; for the supervision of Deans, Chairs of Departments, and Directors in the administration of their areas; and for the approval of faculty assignments. The Provost shall have authority over academic budgets. The Provost shall be the senior officer of the University under the President, shall preside at meetings of all Ruling Bodies, Departments and University Boards when the President is not present at such meetings. In the absence of the President, or in case of vacancy in the office, the Provost shall have the powers and perform the duties of the President.

STATUTE 7. The Deans.

7.1. The Schools, Divisions, the College, and the Faculty of the Institute for Molecular Engineering are each administered by a Dean under the supervision of the Provost of the University. That Dean is empowered to act as the executive officer and representative of a Faculty, and, with regard to educational policy, to take the initiative in proposing plans to the Faculty, and to carry into effect plans adopted by the Faculty.

7.2. When the Dean of a Division, School, the College or of the Faculty of the Institute for Molecular Engineering is to be appointed, the Faculty shall elect a committee whose duty shall be to confer with the President and the Provost of the University, to ascertain the suggestions and preferences of the Faculty members and to make a list of candidates. The Dean shall be appointed by the President upon the recommendation of the Provost under such regulations as may be adopted from time to time by the Board of Trustees after the President has requested and received suggestions from the committee concerning its list of candidates and the list the President has under consideration. Each member of the Faculty shall have the privilege of communicating preferences for or criticisms of candidates directly to the President or the Provost of the University, or to the members of the committee.

7.3. The period of appointment of a Dean shall be for a term not to exceed five years, and at the end of each period the appointment shall be subject to review.

STATUTE 11. Faculty and Other Academic Appointees.

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11.1. The Members of the University Faculties are classified as follows: Professor, Associate Professor, Assistant Professor, Collegiate Assistant Professor, and Instructor. Every person holding one of these titles with status as defined in §11.1 shall be a member of the Faculty. Membership in the University Faculties is restricted to persons holding appointment as prescribed in §11.1.

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The procedure in making appointments and in determining tenure and promotion of members of the Faculties shall be as follows:

II.1.1. Instructors. The term of appointment as instructor shall be for either one or two years, provided that no person shall be appointed to serve in the rank of instructor for a total of more than four years. When an instructor is not reappointed for a second, third, or fourth year, terminal notice shall be given to the instructor not later than March 15 of the last year of appointment. When an instructor has held full-time appointment for three years in one or more Divisions or in the Institute for Molecular Engineering, and is reappointed for a fourth year of such service, the department, the College, the School or the Institute for Molecular Engineering shall review the case and recommend to the appropriate Dean (1) that the instructor be promoted to assistant professor as defined in §II.1.3 at the end of the fourth year, or (2) that the instructor not be reappointed at the end of the term of appointment. Following subsequent review, and not later than December 15 of the last year of appointment, the instructor shall be notified of the final decision. Persons whose appointive year ends at dates other than June 30 or September 30 will be given comparable notice.

II.1.3. Assistant Professors. The appointment of an assistant professor normally shall be for a term of either three or four years of full-time service in one or more Faculties of the Departments, the College, and Schools, or in the Institute for Molecular Engineering, provided that no person shall be appointed to serve in this rank for (1) a total of more than seven years, or (2) a total of more than six years if the person previously had an appointment for full-time service in the rank of instructor for as long as four years. In the last year of full-time service as an assistant professor under an initial term of appointment (third or fourth year, whichever is applicable), the Department, or the College, or the School, or the Institute for Molecular Engineering shall review the case and recommend to the appropriate Dean (1) that the assistant professor be reappointed for a second term of not more than three or four years, whichever is applicable, or (2) that the assistant professor not be reappointed at the end of the term of appointment. When an assistant professor has completed all but the last year of service under a second full-time appointment and is in the last year (sixth or seventh year as the case may be) of such appointment, the Department, or the College, or the School, or the Institute for Molecular Engineering shall review the case and recommend to the appropriate Dean (1) that the assistant professor be promoted to associate professor as defined in §II.1.4 at the completion of the term of appointment, or (2) that the assistant professor not be reappointed at the end of the term of appointment. With respect to reviews under §II.1.3, following subsequent review and not later than December 15 of the last year

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of appointment, the assistant professor shall be notified of the final decision. Persons whose appointive year ends at dates other than June 30 or September 30 will be given comparable notice.

11.1.4. Associate Professors and Professors. Normal appointment to the rank of associate professor or professor shall be with indefinite tenure. Exceptions can be made for appointments at those ranks on a term basis, and the notice of appointment shall specify the period of appointment. Such term appointments carry no implication of indefinite tenure. When an associate professor or professor on a term appointment has completed all but the last year of service under such an appointment, the Department, or the College, or the School, or the Institute for Molecular Engineering shall review the case and recommend to the appropriate Dean normally either (1) that the associate professor or professor shall at the completion of the term of appointment be appointed with indefinite tenure, or (2) that the associate professor or professor not be reappointed at the end of the term of appointment. Following subsequent review, and not later than December 15 of the last year of appointment, the associate professor or professor shall be notified of the final decision. Persons whose appointive year ends at dates other than June 30 or September 30 will be given comparable notice.

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11.1.5. Clinical faculty without public distinction of title. Appointments in the Division of the Biological Sciences of clinical faculty without public distinction of title shall be made at the ranks of Assistant Professor, Associate Professor, or Professor. All appointments under this Section 11.1.5 shall be without indefinite tenure.

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11.1.5.2. Appointments as associate professor under this section shall be made for terms of up to five years and may be renewed with no limit as to the number of terms. When an associate professor has completed all but the last year of service under such an appointment, the Department shall review the case and recommend to the Dean that the associate professor (1) be reappointed, (2) be promoted to professor as defined in §11.1.5.3, or (3) not be reappointed. Following subsequent review and not later than December 15, the associate professor shall be notified of the final decision. Persons whose appointive year ends at dates other than June 30 or September 30 will be given comparable notice.

11.1.5.3. Appointments as professor under this section normally shall be made for terms of up to five years which may be renewed with no limit on the number of terms. Appointments may be made for a term without specified limit of time; such appointments shall be made subject to a one-year notice of termination. When a professor has completed all but the last year of service under a specified term appointment, the Department shall review the case and recommend to the Dean that the professor (1) be reappointed, or (2) not be reappointed. Following subsequent review and not later than December 15, the professor shall be notified of the final decision. Persons whose appointive year ends at dates other than June 30 or September 30 will be given comparable notice.

11.2. Other academic appointees. The University also makes academic appointments that are not Faculty appointments, and are for a specified or unspecified length of term. Each of the following appointments shall be made for periods and upon terms consistent with the applicable administrative policies of the University, which are subject to change. No appointments under this section shall be eligible for or carry any implication of indefinite tenure. At the end of the term of appointment, employment by the University ceases unless the appointment is renewed, and the failure to give or receive a notice of termination shall not give rise to any contrary presumptions or implications either as to (1) promotion, or (2) reappointment. These other appointments are as follows:

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11.2.1. Clinical appointments in the Division of the Biological Sciences. In the Pritzker School of Medicine, persons engaged in the educational programs of the School may be appointed to one of the following designated positions. Appointments requiring clinical privileges which are made under §11.2.1 shall terminate upon the loss of medical licensure or attending physician privileges, except when the appointee voluntarily relinquishes those privileges upon beginning a medical leave. In such instance where the appointee voluntarily relinquishes attending physician privileges upon beginning a medical leave, the academic appointment shall terminate upon the expiration of the academic appointment or the cessation of medical disability insurance coverage, whichever occurs sooner.

11.2.1.1. Academic Clinical Appointments. Academic clinical appointments shall consist of appointments as Instructor of [Department], Assistant Professor of [Department], Associate Professor of [Department], and Professor of [Department].

11.2.1.2. Clinical Academic Appointments. Clinical academic appointments shall consist of appointments as Clinical Instructor of [Department], Clinical Assistant Professor of [Department], Clinical Associate Professor of [Department], and Clinical Professor of [Department].

11.2.1.3. Clinical Associate Appointments. Appointments may be made as Clinical Associate.

11.2.1.4. Appointments of members of the staff of an affiliated hospital to positions at the University of Chicago will be made in accordance with the University's academic appointment standards and procedures. Such appointments require the agreement of the affiliated hospital and are subject to continuation of the affiliation agreement between the University of Chicago and the affiliated hospital. An appointment ceases upon the termination of the staff member's appointment at the affiliated hospital or at the end of the term of the University appointment, whichever occurs first.

II.2.4. Research Appointees and Lecturers.

II.2.4.1. Research Appointees are classified as follows: Research Associate and Senior Research Associate; Research Scientist; Research Assistant Professor, Research Associate Professor, and Research Professor.

II.2.4.2. Lecturers are classified as follows: Lecturer and Senior Lecturer.

11.3 At the end of the term of appointment, membership in the Faculties of and employment by the University ceases unless a faculty member is reappointed, and the failure to give or receive a notice of termination within the time or times specified in §11.1 shall not give rise to any contrary presumptions or implications either as to (1) promotion in rank, or (2) reappointment in the same rank. During a term of appointment, a member of the Faculties should not engage in business or professional activities encroaching upon University obligations; and before undertaking a continuing extra-University activity which might interfere with such obligations, a Faculty member must obtain the consent of the appropriate Chair or Director, and Dean.

11.4. Provisions for removal or termination.

11.4.1. All appointees under §11 are subject to removal for inadequate performance of duty or for misconduct.

11.4.2. Before removal of a member of the Faculty holding appointment under §11.1, the individual must be given notice of the charges and must be given the opportunity to resign or to be heard by a Faculty committee. Such committee shall be appointed by the President. If the committee does not report within the time fixed by the President, the President shall take whatever action the President deems appropriate. Such committee shall be informed of the reasons if recommendations which it makes are not followed.

11.4.3. Appointments of individuals under §11.1.5 shall be terminated upon loss of medical licensure or attending physician privileges, and may be terminated upon discontinuation of the relevant specialty program, absence of a reimbursement base to support the practice, or diminished participation in clinical service and teaching; such termination shall not be subject to the proceedings as set out in 11.4.2 for inadequate performance or misconduct.

12.2. The University Ruling Bodies. The University Ruling Bodies shall consist of:

- a) The Council of the University Senate;
- b) The Faculty of the College;
- c) **The Faculty of the Division of the Biological Sciences, including the Pritzker School of Medicine;**
- d) The Faculty of the Division of the Humanities;
- e) The Faculty of the Division of the Physical Sciences;
- f) The Faculty of the Division of the Social Sciences;
- g) The Faculty of the Booth School of Business;
- h) The Faculty of the Divinity School;
- i) The Faculty of the Law School;
- j) The Faculty of the Irving B. Harris Graduate School of Public Policy Studies;
- k) The Faculty of the School of Social Service Administration;
- l) The Faculty of the Oriental Institute;
- m) The Faculty of the Institute for Molecular Engineering; and
- n) The University Boards.

12.3. Provisions Common to Several Ruling Bodies

12.3.1. Constitution.

12.3.1.1. Membership in the Faculty of a School, Division, the College, the Oriental Institute, or the Institute for Molecular Engineering with voting privileges shall be extended to all persons listed in §11.1 who are appointed on a full-time basis in the University for at least a year under any of the types of appointment listed in §14, and one-third of whose work for the current year is in the School, Division, the College, the Oriental Institute, or the Institute for Molecular Engineering. Further, the Faculty of any School or Division, or of the College, the Oriental Institute or the Institute for Molecular Engineering may adopt a rule conferring membership with voting privileges on faculty members who have been full-time members of the Faculty and who currently have at least a half-time appointment in the University.

12.3.1.2. A Faculty member on full-time service in the University for at least a year under any of the types of appointment listed in §14 may, if specifically appointed, hold joint membership with voting privileges in more than one Faculty on the basis of less than one-third service.

12.3.1.3. Members Emeritus of the Faculty and academic personnel appointed under the provisions of §11.2 who are appointed for at least one year in the College, a Division, a School, the Oriental

Institute, or the Institute for Molecular Engineering may be invited to attend the meetings and take part in the deliberations of its Faculty, but shall not vote.

12.3.1.4. Vacancies in the places of ex officio representatives in any Ruling Body may be temporarily filled by persons appointed by the President.

12.3.1.5. The President shall be the presiding officer of each Ruling Body or, if the President is not present, the Provost of the University. If neither the President nor the Provost is present, a Dean or other member of the Ruling Body may be appointed by the President to serve in the President's stead.

12.3.1.6. A Secretary of the Faculties appointed by and responsible to the President or his designee shall support the University Senate, the Council of the Senate, and the Committee of the Council, as well as the University Boards.

12.3.2. Jurisdiction and Powers.

12.3.2.1. Each Faculty shall have general legislative power over all matters pertaining to its own meetings, and to the admission requirements, curricula, instruction, examinations, grading, and degrees in its own School or Division, or in the Institute for Molecular Engineering, and these powers of each Faculty shall be exclusive and final, except as otherwise specifically provided in §12.5.3.

12.3.2.2. Meetings of any Faculty may be called by the Dean, and shall be called by the Dean at the request of such proportion of the Faculty as shall be fixed by the Faculty.

12.4. The University Senate

12.4.1. Constitution. The Senate shall consist of:

- a) Professors (as identified above under §11.1.4 and §11.1.5.3);
- b) Associate Professors (as identified above under §11.1.4 and §11.1.5.2);
- c) Assistant Professors (as identified above under §11.1.3 and §11.1.5.1) who have completed one year's full-time service on academic appointment at whatever rank;
- d) the President
- e) the Provost; and
- f) the Vice Presidents.

12.4.2. Powers.

12.4.2.1. The Senate shall meet not less than once a year to hear a report from the President and to discuss matters of University interest.

12.4.2.2. The President may call special meetings of the Senate, and shall call such special meetings on vote of the Council or on petition of one-tenth of the members of the Senate.

12.4.2.3. The Senate shall elect a Council as provided in §12.5.1.

12.5. The Council of the University Senate

12.5.1. Constitution. The Council of the University Senate shall consist of fifty-one elected members chosen by the Senate from its membership by the Hare System of Proportional Representation. The President and the Provost of the University shall be members without vote, save that the Chair may vote to break a tie. These officers shall serve as Chair or Vice Chair in the order listed, and if none of these be present, a Dean of a Division shall preside. Nominations shall be made and elections shall be held each year to elect seventeen regular members to serve for a term of three years from September 25, to replace the seventeen whose terms expire on September 24. Candidates shall be placed in nomination by three or more members of the Senate. The retiring members, except those who have served less than one year, shall be ineligible for re-election until the lapse of one year. Vacancies shall be filled, in accordance with the Rules of Procedure of the Council, at the time of the annual elections.

12.5.2. Meetings. The Council shall meet once a month in the Autumn, Winter, and Spring Quarters, or more often at the call of the President or of the Provost, or of such proportion of its membership as it shall determine.

12.5.3. Jurisdiction and Powers.

12.5.3.1. The Council shall be the supreme academic body of the University, having all legislative powers except concerning those matters reserved to the Board of Trustees, the Office of the President, or the other Ruling Bodies. In particular, it shall have such jurisdiction over (1) matters affecting more than one Ruling Body, and (2) any action of any Ruling Body which substantially affects the general interest of the University. Questions of jurisdiction between the President and the Council shall be decided by the Board of Trustees. Questions of jurisdiction between the Council and other Ruling Bodies shall be decided by the President. The competence of the Council shall extend to the Institutes and other educational and research organizations of the University not defined as Ruling Bodies and not subordinate to a Ruling Body in the same way that competence applies to the Ruling Bodies.

12.5.3.2. Actions of Ruling Bodies within the jurisdiction of the Council shall be referred to the Council, through the Committee of the Council, at the first Council meeting following the action, and shall not be effective until that meeting of the Council. At such meeting the Council may approve or disapprove such action, or direct that such action be stayed until further order of the Council.

12.5.3.3. The Council shall act by vote of the majority (but not less than twenty) of the members in attendance.

12.5.3.4. The President, the Provost, or any member of the Council may initiate legislation in the Council. Any Ruling Body may lay before the Council action of any other Ruling Body which it desires the Council to consider.

12.5.3.5. Consistent with the powers reserved to the Board of Trustees, the Office of the President, and other Ruling Bodies, the Council of the University Senate shall formulate those rules that relate to student conduct prohibited by §2I. The Council of the University Senate shall formulate the procedures that will enforce those regulations and shall provide for hearings where there are charges of violations of those regulations. The Council of the University Senate may also establish mechanisms for the formulation and administration of additional rules and regulations for student conduct prohibited by §2I.

12.5.3.6. The President shall not give effect to proposals on matters within the jurisdiction of the Council without the approval of the Council, but the President may submit the action of the Council to the Board of Trustees. Any action so referred to the Board of Trustees shall be accompanied by such report or reports as the interested parties may prepare and transmit to the Board of Trustees through the President. The interested parties may, at their request, meet with the appropriate committee of the Board of Trustees. The decision of the Board of Trustees shall be final.

12.5.3.7. Either the President, the Provost, or the Council may make recommendations to any Ruling Body concerning matters within the jurisdiction of the latter.

12.5.3.8. The President may within a reasonable time before the next regular meeting of the Council disapprove any action of the Council (including action disapproving the action of a Ruling Body), and upon such disapproval the action shall be reconsidered by the Council before becoming effective. Approval by the Council of such action upon reconsideration shall constitute reference of the action to the Board of Trustees. The decision of the Board of Trustees shall be final. Any action so referred to the Board of Trustees shall be accompanied by such report or reports as the

interested parties may prepare and transmit to the Board of Trustees through the President. The interested parties may, at their request, meet with the appropriate committee of the Board of Trustees.

12.5.3.9. The President or the Council may invite any person not a member of the Council to attend a meeting of the Council, with the right to speak, but not to vote.

12.6. The Committee of the Council

12.6.1. Constitution. The Committee of the Council shall consist of seven members of the Council chosen annually by the Council by the Hare System of Proportional Representation. Deans shall not be eligible for election to the Committee. Members of the Committee shall be eligible for re-election provided they are members of the Council. The President and the Provost of the University shall be members of the Committee, without vote, and shall be Chair and Vice Chair, respectively. Vacancies in the elected membership shall be filled as provided by the Council. The Committee shall elect a spokesperson who shall be the channel of communication between the Committee and the Council.

12.6.2. Powers. The Committee may concern itself with all matters of educational policy within the jurisdiction of the Council. All matters to be presented to the Council for action shall first be laid before the Committee, which shall report its opinion upon them to the Council through its spokesperson. At the Committee's request it may meet with the appropriate committee of the Board of Trustees.

12.6.3. Meetings. The Committee shall meet every two weeks during the Autumn, Winter, and Spring Quarters, or more often at the call of the President or of such proportion of its membership as it shall determine.

12.6.4. The President shall keep the Committee informed as far as practicable on all matters of general University interest.

12.6.5. Subcommittees of the Committee of the Council shall be appointed by the President in consultation with the spokesperson.

12.7. The Faculties of the Divisions

12.7.1. Constitution. The Faculty of each Division shall consist of:

- a) The President;
- b) The Provost of the University;
- c) The Dean of the Division;
- d) The Dean of Students in the University;

- e) The Director of the University Library;
- f) Members of the Faculty, as defined in §12.3.1.1 and §12.3.1.2, in such Departments and institutes as the President may assign to the Division.

12.7.2. Jurisdiction and Powers. The Faculty of each Division shall have control of the work of the Division and of recommending candidates for the Master's and Doctor's degrees and the Bachelor's degree jointly with the College where formal arrangements for joint degrees have been adopted.

12.7.3. The Faculty of the School of Medicine of the Division of the Biological Sciences. The Faculty of the Pritzker School of Medicine of the Division of the Biological Sciences shall consist of the President, the Provost of the University, the Dean of the Division of the Biological Sciences, and members of the Division of the Biological Sciences, part of whose work is in the Pritzker School of Medicine. This Faculty shall recommend candidates for the degree of M.D.

12.12. Departments

12.12.1. Constitution. A Department shall consist of members of any Faculty, as defined in §12.3.1.1, other than the Faculty of the College, regularly appointed to give instruction at the Divisional level in one of the well-recognized fields of study and investigation. A member of the Faculty who gives no

instruction at the Divisional level in the field of a Department is not a member of the Department in the absence of an agreement by the Department to the contrary. The President and the Provost shall be ex officio members of the several Departments. Members of the Faculty giving instruction in the same field in a Division and in the College should make suitable arrangements for the discussion of common problems.

12.12.2. Powers and Organization.

12.12.2.1. The Department, subject to the general Statutes of the University, shall determine from time to time the general policy and work of the Department and include among its duties: the duty of determining in consultation with the Dean of the School, Division, or College in which the Department may be established; the program of studies offered by the Department; the candidacy of students for higher degrees; the examination of candidates for higher degrees; the filing of theses for higher degrees; the duty of editing the departmental journal, if any be edited; and of providing for the examination and acceptance of theses for higher degrees.

12.12.2.2. The administration of the Department shall ordinarily be conducted through a Chair, who shall be appointed from the Department by the President upon the recommendation of the Provost to serve for a term not to exceed three years, at the end of which period a new Chair shall be appointed or the same one reappointed. Prior to the President's appointment or reappointment of a Chair, the Provost shall notify the Department of the vacancy, request the Department to make suggestions to the Provost as to a Chair, and give it opportunity to submit such suggestions.

12.12.2.3. In the case of a large Department an administrative assistant shall normally be appointed by the Chair of the Department to aid in the work of administration.

12.12.2.4. In the absence of the President or the Provost, the Chair— or in the absence of the Chair, the executive officer—shall preside at all meetings of the Department. A meeting of the Faculty of each Department shall be held at least once a Quarter. Other meetings may be called by the Chair, and shall be called by the Chair at the request of such proportion of the Faculty as shall be fixed by the Faculty. The Chair is empowered to act as executive officer and representative of the Faculty, and, with regard to educational policy, to take the initiative in proposing plans to the Faculty and to carry into effect plans adopted by the Faculty. The Chair shall be the official representative of the Department in all official communications with the President and the Provost of the University and with other officers and Boards of the University, and also in all departmental communications with students.

12.13. Other Institutes

12.13.1. Constitution. An institute (other than the Institute for Molecular Engineering, the constitution, powers and organization of which are described under §12.10) is an organizational unit of the University engaged in research and advanced instruction; it does not recommend candidates for degrees. The voting members of an institute shall consist of members of any Faculty or Faculties, as defined in §12.3.1.1, regularly appointed to conduct research under the auspices of the institute. Persons who are not Faculty members are not voting members of an institute in the absence of decision by the Faculty members of the institute to the contrary.

12.13.2. Powers and Organization.

12.13.2.1. The voting members of an institute, subject to the General Statutes of the University, shall determine from time to time the general policy and work of the institute.

12.13.2.2. The administration of an institute shall ordinarily be conducted through a Director who shall be appointed from the institute by the President, upon recommendation of the Provost, to serve for a term of not to exceed three years, at the end of which period a new Director shall be appointed or the same one reappointed. Prior to the President's appointment or reappointment of a Director, the Provost shall notify the institute of the vacancy, request the institute to make suggestions to the Provost as to a Director, and give it opportunity to submit such suggestions.

12.13.3. In cases in which the burden of administrative duties requires it, an administrative assistant shall be appointed by the Provost on recommendation of the Director, not necessarily from the Faculty.

12.13.4. The Director—or in the absence of the Director, a voting member of the institute delegated by the Director—shall preside at all meetings of an institute. A meeting of the voting members of each institute shall be held at least once a Quarter. Other meetings may be called by the Director and shall be called by the Director at the request of such proportion of the voting membership as shall be fixed by the voting membership. The Director is empowered to act as executive officer and representative of the institute and to take the initiative in proposing plans to the institute and to carry into effect plans adopted by the institute. The Director shall be the official representative of the institute in all official communications with the President and the Provost of the University and with other officers and Boards and Departments of the University.

12.14. Amendments

Amendments to §12 may be recommended to the Board of Trustees in either of the following ways:

12.14.1. Amendments may be recommended to the Council of the University Senate by any Ruling Body, or the Council of the University Senate itself may propose them. An opportunity shall then be given to any other Ruling Bodies affected to make recommendations also upon the matter to the Council of the University Senate. By a majority vote the Council of the University Senate may recommend the proposed amendments, through the President, to the Board of Trustees for adoption. Should the Council of the University Senate be adverse, any Faculty may still have the matter presented, through the President, to the Board of Trustees by a two-thirds vote (a majority of the voting members of the Faculty in residence being present), in which case the President shall lay before the Board of Trustees a report stating the recommendations of all Ruling Bodies and the adverse action of the Council of the University Senate, with the reasons for each.

12.14.2. Upon call of the President, or at the request of the Council of the University Senate, or of any two Faculties, or of any one Faculty by a two-thirds vote, a joint meeting shall be held of all Faculties at which amendments may be recommended, through the President, to the Board of Trustees. The Council of the University Senate or any Faculty may dissent from these recommendations and may present, through the President, to the Board of Trustees a statement containing the reasons therefor.

STATUTE 13. The Academic Year is divided into four Quarters, beginning with the Summer Quarter. The Autumn Quarter begins about October 1; the Winter Quarter begins about January 2; the Spring Quarter begins in late March; the Summer Quarter begins about the middle of June. The length of a Quarter is from ten to twelve weeks.

STATUTE 14. Residence Requirements for Academic Appointees. Individuals appointed under Statute 11 for full-time service during each appointive year shall perform service (in instruction, research, and other activities) as may be recommended by the appropriate Dean and approved by the Provost. Work under such assignments shall be considered to be service in residence, and shall normally be performed within the University, but such service may be rendered outside the University when recommended by the Dean and approved by the Provost. Periods of freedom from assignment, when extended to one Quarter or more, are considered to be out of residence unless otherwise specified in the Statutes. A member of the Faculty or an academic appointee under Statute 11.2 during the Quarters of residence may not engage in consultation, teaching at other universities, regular compensated lecturing, compensated editorial activities, or other substantial outside employment, unless such activity is consistent with the faculty member's or academic appointee's obligations to the University, is not inimical to the fullest development of scholarly activities, and meets with the approval of the faculty member's or academic appointee's Chair and Dean.

14.1. Three-Quarter appointments: Each Faculty member under this type of appointment shall perform service in residence during three Quarters of the member's appointive year as may be recommended by the appropriate Dean and approved by the Provost. Compensation for such service is payable in twelve equal monthly installments annually.

14.2. Four-Quarter appointments: When certain types of continuous service are required, a member of the Faculty may be appointed to serve during the four Quarters (or equivalent thereof) of the academic year. Compensation shall be in twelve equal monthly installments. The different types of Four-Quarter appointments provided for are designated below by the symbol used in budget and appointment forms.

a) 4 Q: Four-Quarter appointments requiring specified service in each Quarter are provided for members of the Faculty assigned to teaching, research, or administrative duties through four Quarters of the year. Persons receiving this type of appointment shall be entitled to four weeks of vacation annually.

b) 4 S: This type of Four-Quarter appointment is provided for members of the staffs of the clinics and clinical Departments of the Pritzker School of Medicine appointed under §II.I.1 to §II.I.4. Appointees are subject to the Divisional regulations on full-time service. Service in the classrooms, laboratories, and clinics, as may be approved by the Dean, is required throughout the appointive year, except that the appointee is entitled to four weeks of vacation annually. Fees charged for professional services shall be paid to the University, including income received for professional consultation and professional services outside the University.

c) 4 CT: This type of Four-Quarter appointment is provided for members of the staffs of the clinics and clinical Departments of the Pritzker School of Medicine who are appointed under §II.I.5. Appointees are subject to the Divisional regulations on full-time service. Service in the classrooms, laboratories, and clinics, as may be approved by the Dean, is required throughout the appointive year, except that the appointee is entitled to four weeks of vacation annually. Fees charged for professional services shall be paid to the University, including income received for professional consultation and professional services outside the University.

Members of a Faculty in (a), (b), and (c) above who accept full-time appointment under these conditions, and who have served thereunder eleven Quarters or longer in the rank of Assistant Professor or higher, shall be eligible to apply for one full Quarter out of residence for each such eleven Quarters of service, with pay at the basic academic salary in force when such leave is taken, except that under no circumstances may a member of the Faculty accumulate more than two Quarters of leave in out-of-residence status and, when a second Quarter of such leave has been accumulated, no further accumulation is permitted until at least one full Quarter of such leave has been taken.

14.3. The President is authorized to make provision for less than full-time appointments.

STATUTE 15. Convocation. Degrees are conferred at the end of each Quarter upon those students who have, during such Quarter, completed all applicable requirements. A Convocation is held at the end of the Spring Quarter, and at other times as may be called for by the President or the Board of Trustees.

STATUTE 16. Emeritus Status. Faculty may retire at age fifty-five. A Faculty member who retires from a position on indefinite tenure or who retires from a Faculty position after serving as a Faculty member for twenty years shall be designated a Member Emeritus of the faculty.

STATUTE 17. University Benefits. The University shall provide such employee benefits to persons in the service of the University as are described in the employment plans and policies of the University in effect from time to time.

STATUTE 18. Patent Policy.

18.1. The basic policies of The University of Chicago include complete freedom of research and the unrestricted dissemination of information. While the traditional method of dissemination of the results of academic work is through publication in scholarly or other public media, developments having commercial potential often arise in the course of University research or other activities. For the benefit of the University, the inventor or creator, and the public, the University endeavors to bring the products of research to practical implementation. Where research or other activities carried out at the University, or with substantial aid of its facilities or funds administered by it, result in inventions, discoveries, or device-like software, such intellectual property shall be disclosed to the University, and shall be the property of the University from inception. The inventor or creator shall agree in writing to notify the University promptly of any such intellectual property and to assign to the University all of his or her rights, title and interests in such intellectual property, in the form of a present assignment of future rights. The inventor or creator shall comply with the University's request that he or she perfect the University's ownership of the intellectual property by execution of a recordable assignment of the intellectual property to the University, or to an organization designated by the University. The University, acting directly or through its designee, shall endeavor to license or assign such intellectual property in a manner that benefits the University and the public, and provides a return to the inventor or creator. The inventor or creator shall be consulted and kept informed of the arrangements. The conditions for the disposition of intellectual property rights shall be consistent with (1) the basic policies of the University, (2) the terms of sponsorship of activities that led to the intellectual property, and (3) the requirements of law and professional ethics.

18.2. Where neither the University nor its designated organization wishes to retain the rights to the intellectual property, and the conditions of sponsorship so permit, the inventor or creator may be allowed to obtain the rights, and to obtain patents, at the expense and for the benefit of the inventor or creator, but in any event the customary processes of academic publication will be utilized for the benefit of the scholarly and general public.

18.3. This §18 does not apply in any situation where the Illinois Employee Patent Act or other law does not permit the University to require that rights be assigned to it. In any situation where the inventor or creator retains rights under this §18, the inventor or creator may offer to assign the intellectual property to the

University or its designee, upon such terms as may be agreed upon.

18.4. Procedures to implement the foregoing shall be developed and administered by the Office of Technology and Intellectual Property under the direction of the President or his or her designee.

STATUTE 19. Use of University's Name. The University will not permit its name or other trademarks to be used to endorse or support a commercial firm, product or service. Any uses of the University's name or other trademarks not precluded by the preceding sentence require the approval of the President or his or her designee.

STATUTE 21. Disruptive Conduct. Disruptive conduct is conduct by any member of the University community that substantially obstructs, impairs, or interferes with: (i) teaching, study, research, or administration of the University, including UCMC's clinical mission; (ii) the authorized and other permissible use of University facilities, including meetings of University students, faculty, staff, administrators and/or guests; or (iii) the rights and privileges of other members of the University community. Any member of the University who engages in disruptive conduct will be subject to disciplinary action. Disruptive conduct includes but is not limited to (1) obstruction, impairment, or interference with University sponsored or authorized activities or facilities in a manner that is likely to or does deprive others of the benefit or enjoyment of the activity or facility and (2) use or threatened use of force against any member of the University community or his or her family that substantially and directly bears upon the member's functions within the University.